

Please fill in all of the sections so we can process your application as quickly as possible. PLEASE USE BLOCK CAPITALS

Personal Details

Title Mr Miss Mrs Ms Other, please specify

First Name Surname

Address

Street

Town County

Postcode Country

Email

Trade confirmations and statements are sent by email. If you are unable to access emails please tick this box.

Daytime phone number

Evening phone number

Mobile phone number

Date of birth Nationality

What is your highest level of education?

Secondary level Primary Degree Masters Degree

PhD Professional Qualification (e.g. CIMA, Law Society, etc)

Other, please specify

How did you hear about us?

Please provide details

Delta Index likes to keep its clients informed of upcoming events, new products and news. If you would like to receive this information please tick this box.

New User Account Information

Username

YOUR USERNAME IS CASE SENSITIVE AND MUST BE A MINIMUM OF SIX CHARACTERS

Password

YOUR PASSWORD IS CASE SENSITIVE AND MUST BE A MINIMUM OF EIGHT CHARACTERS INCLUDING ONE LOWER CASE, ONE UPPER CASE AND ONE DIGIT

PLEASE KEEP A RECORD OF IT FOR LOGIN DETAILS. PLEASE NOTE THAT WE ONLY ASK FOR A PASSWORD FOR EASE OF SETUP, YOU MAY CHANGE THIS AT ANY TIME ONCE YOUR TRADING ACCOUNT HAS BEEN ACTIVATED.

Please choose one account security question (PLEASE CHOOSE ONE OF THE BELOW)

Favourite colour

Mother's maiden name

Pet's name

Please select the product you wish to trade

Spread Betting Contracts for Difference (CFDs)

Please select the currency you wish to trade in

EUR GBP (SPREAD BETTING ONLY)

Please choose the type of account you wish to open

Standard Account Controlled Risk Account (SPREAD BETTING ONLY) Advisory Account

Standard Account Key Features

- Benefit from the tightest spreads across all markets
- Open positions on a multitude of markets if your available trading resources cover the necessary Initial Margin Requirement (IMR)
- Default stops are not guaranteed but you can opt for Guaranteed Stop Orders (GSOs) on a number of markets for an additional premium

Controlled Risk Account Key Features

- In exchange for wider spreads, all positions are created with GSOs attached, limiting the effect of price gapping
- You cannot lose more than your deposit
- The IMR required to open a position will always equal your stop distance in points multiplied by your stake

Advisory Account Key Features

- Benefit from valuable trading advice with our unique Advisory Service
- Please note that restrictions on account size and trading experience apply

We are required to verify your identity and address before activating your trading account. If we are unable to verify either of these based on the information you provide in the application form we might have to ask you to send in supporting documentation.

Banking Details

Bank/Building Society name	<input type="text"/>	
Account number	<input type="text"/>	
Account name	<input type="text"/>	
Sort code	<input type="text"/>	
Swift Code	<input type="text"/>	(NON-IRISH BANKS ONLY)
IBAN number	<input type="text"/>	(NON-IRISH BANKS ONLY)

The information requested in the Trading Knowledge & Experience, Financial Situation and Investment Objectives sections is required in accordance with the Markets in Financial Instruments Directive (MiFID) in order to open a Trading Account with Delta Index Limited and (if applicable) determine whether our Advisory Services are suitable for you. Your information will remain strictly confidential in accordance with our Privacy Policy.

Trading Knowledge & Experience

Do you trade execution only or advisory? EXECUTION ONLY MEANS THAT YOU ARE MAKING ALL TRADING DECISIONS AND TRANSACTIONS YOURSELF - ADVISORY MEANS THAT YOU ARE BEING ADVISED ON YOUR TRADING DECISIONS AND/OR YOUR TRADING TRANSACTIONS ARE BEING PERFORMED ON YOUR BEHALF

Execution only Advisory Both Neither (I've never traded)

Professional Experience

Considering your professional experience, have you worked in the financial services sector or in a position that requires knowledge of Financial Spread Betting or other derivative products for a period of more than 12 months?

Yes No

Margin Trading Experience

How many times have you traded on Margin – e.g. Financial Spread Bets, CFDs, Futures or Options in the past 12 months?

- Never 10 or less times 11-40 times 41+ times

How many years experience do you have in Margin Trading?

- None Less than 1 year 1-2 years 2-5 years 5+ years

Non-Margin Trading & Investment Experience

How many times have you traded/invested in non-margin investment products – e.g. shares, investment funds in the past 12 months?

- Never 10 or less times 11-40 times 41+ times

How many years experience do you have in non-Margin Trading and Investments?

- None Less than 1 year 1-2 years 2-5 years 5+ years

Financial Situation

Real Property & Investment Assets (value of any property owned and investments less outstanding mortgage)

- £0 - £25,000
 £25,001 - £100,000
 £100,001 - £500,000
 £500,000+

Advisory Account Questions

The questions on this page are only required if you are applying for an Advisory Account. Please proceed directly to page 6 if you are applying for a Standard Account or a Controlled Risk Account.

Financial Situation

Total Annual Income

- £0 - £25,000
- £25,001 - £100,000
- £100,001 - £500,000
- £500,000+

Source of Annual Income (indicate approximate % share of Total Annual Income)

Salary from employment

Investment income

Other: (Please provide details below)

Total Annual Financial Commitments

- £0 - £25,000
- £25,001 - £100,000
- £100,001 - £500,000
- £500,000+

Investment Objectives

What are your investment objectives for opening a Delta Index Trading Account?

- Hedging other investments
- Capital Growth with High Risk

Declaration

I understand in accordance with the Delta Index Limited Terms and Conditions that, whilst by opening an Advisory Account I agree to receiving Personal Recommendations in relation to Transactions in my Advisory Account, I ultimately agree that I will rely on my own judgment with respect to all Transactions I enter into with Delta Index Limited and Delta Index Limited accepts no liability or responsibility in this regard.

Signature

Declarations

I have read, acknowledge, understand and agree to the Delta Index Limited **Risk Warning Notice** as per the Delta Index Limited Terms & Conditions including the following:

- Financial Spread Betting and CFDs, by their very nature, or any investment in shares, currencies, commodities, derivatives and similar products carry a high degree of risk and uncertainty and I am solely responsible and liable for decisions and transactions which I make.
- That all trading decisions are made by me in reliance on my own judgment only and at my own risk.
- I understand the concepts and realities of market exposure, margining, gearing, leverage and I am willing to accept the risks of market gapping.
- I am responsible for monitoring my position including maintaining an up to date view on my position and when I might need to add to my margin.
- That past performance is not a reliable indicator of future performance/results.

I have read, acknowledge, understand and agree to the **Regulatory Risk Disclosure Statement** as per the Delta Index Limited Terms & Conditions.

Signature

By signing hereto I consent to Delta Index Limited holding and pooling my monies in the relevant designated client account (excluding margin) and I also consent to Delta Index Limited holding my monies in such accounts outside Ireland in accordance with the Delta Index Limited Terms and Conditions.

I have read, acknowledge, understand and agree to the Delta Index Limited **Order Execution Policy** as per the Delta Index Limited Terms and Conditions.

Signature

I confirm that I am over 18 years of age, not resident in the United States and the information provided here is true and accurate. I have read, understood and agree to the Delta Index Limited **Terms and Conditions** which form a two-way agreement between myself and Delta Index Limited.

Signature

Date

A member of our Client Team will be in touch with you regarding your application. In the meantime should you have any queries please contact us via email to **client@deltaindex.com** or by phone on **0044 203 1595 220**. Please return the completed form to **Delta Index Limited, 9 Devonshire Square, London, EC2M 4YF, United Kingdom**. Delta Index Limited is registered in Ireland under registered number 347672 and regulated by the Irish Financial Regulator.